

State of Rhode Island and Providence Plantations  
Department of Administration  
Division of Purchases

**RIVIP BIDDER CERTIFICATION COVER FORM**

**SECTION 1 - BIDDER INFORMATION**

*Bidder must be registered as a vendor on the RIVIP system at [www.purchasing.ri.gov](http://www.purchasing.ri.gov) to submit a bid proposal.*

**Solicitation Number:** 7549871  
**Solicitation Title:** ITS ON-CALL MAINTENANCE SERVICES-DOT (29 PGS & ZIP FILE)  
  
**Bid Proposal Submission  
Deadline Date & Time:** 9/23/2015 1:00 PM  
  
**RIVIP Vendor ID #:** 37969  
**Bidder Name:** ARDEN ENGINEERING CONSTRUCTORS, LLC  
**Address:** 505 NARRAGANSETT PARK DR.  
  
PAWTUCKET, RI 02861-4323  
USA  
  
**Telephone:** (401) 727-3500  
**Fax:** (401) 727-3540  
**Contact Name:** ANTHONY CARDINALE  
**Contact Title:** SENIOR PROJECT MANAGER  
**Contact Email:** [acardinale@ardeneng.com](mailto:acardinale@ardeneng.com)

**SECTION 2 —DISCLOSURES**

**Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.**

*Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below. Complete Disclosure 5. If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder.*

- N 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
- N 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
- N 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.

- N 4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.
5. List each officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder.

Disclosure details (continue on additional sheet if necessary):

Robert Bolton, Owner  
505 Narragansett Park Drive  
Pawtucket, RI 02861  
401-727-3500

### SECTION 3 — CERTIFICATIONS

**Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.**

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

#### THE BIDDER CERTIFIES THAT:

- Y 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
- Y 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
- Y 3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
- Y 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.
- Y 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.
- Y 6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.
- Y 7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.
- Y 8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Blank lined paper.

Submission by the Bidder of a bid proposal pursuant to this solicitation constitutes an offer to contract with the State of Rhode Island through the Division of Purchases on the terms and conditions contained in this solicitation and the bid proposal. The Bidder certifies that: (1) the Bidder has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the bid proposal is based on this solicitation; and (3) the information submitted in the bid proposal (including this Bidder Certification Cover Form) is accurate and complete. The Bidder acknowledges that the terms and conditions of this solicitation and the bid proposal will be incorporated into any contract awarded to the Bidder pursuant to this solicitation and the bid proposal. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this bid proposal and has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

## BIDDER

Date: 9/23/15

Arden Engineering Constructors, LLC  
Name of Bidder

Signature in .ink

Gordon Fletcher, CFO  
Printed name and title of person signing on behalf of Bidder



# Request for Quote

Page 1 of 2

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS  
ONE CAPITOL HILL  
PROVIDENCE RI 02908

BUYER: Mosca, Gary  
PHONE #: 401-574-8124

CREATION DATE: 04-SEP-15  
BID NUMBER: 7549871  
TITLE: ITS ON-CALL MAINTENANCE SERVICES - DOT

BLANKET START : 01-NOV-15  
BLANKET END : 31-OCT-18  
BID CLOSING DATE AND TIME: 23-SEP-2015 01:00:00

B  
I  
L  
L  
T  
O  
DOT ACCOUNTS PAYABLE  
TWO CAPITOL HILL, RM 230  
SMITH ST  
PROVIDENCE, RI 02903  
US

S  
H  
I  
P  
T  
O  
DOT TRAFFIC MANAGEMENT CENTER  
TWO CAPITOL HILL, RM 144  
PROVIDENCE, RI 02908  
US

Requisition Number: 1430290

Line	Description	Quantity	Unit	Unit Price	Total
1	Department of Transportation: ITS ON-CALL MAINTENANCE SERVICES -PER ATTACHED SPECIFICATIONS.  BLANKET PERIOD 11/1/15 - 10/31/18 DOT: 11/1/15-10/31/18 T12.9901 CCVE INSPECTION AND CLEANING - WITH LOWERING DEVICE	240.00	Each	\$175.00	\$42,000.00
2	DOT: 11/1/15-10/31/18 T12.9902 CCVE INSPECTION AND CLEANING - WITHOUT LOWERING DEVICE	150.00	Each	\$475.00	\$71,250.00
3	DOT: 11/1/15-10/31/18 "T12.9903 REMOVE EXISTING C.L.D. AND FURNISH/INSTALL NEW IP C.L.D. ON EXISTING POLE"	20.00	Each	\$2,500.00	\$50,000.00
4	DOT: 11/1/15-10/31/18 T12.9904 CHARGE SOLAR POWERED EQUIPMENT BATTERIES	8.00	Each	\$400.00	\$3,200.00
5	DOT: 11/1/15-10/31/18 T12.9905 REPLACE EXISTING FLASHING BEACONS WITH YELLOW LED MODULE	6.00	Each	\$2,100.00	\$12,600.00
6	DOT: 11/1/15-10/31/18 T12.9906 RELAMP EXISTING LED MODULE ON HAR SIGNS	2.00	Each	\$1,400.00	\$2,800.00
7	DOT: 11/1/15-10/31/18 T12.9907 FLATBED TRUCK WITH LIFT AND OPERATOR	24.00	Hour	\$185.00	\$4,440.00
8	DOT: 11/1/15-10/31/18 HOURLY RATE FOR DIAGNOSTIC AND TROUBLESHOOTING (WITHOUT REPAIR)	60.00	Hour	\$85.00	\$5,100.00
9	DOT: 11/1/15-10/31/18 HOURLY RATE FOR ROUTINE TROUBLESHOOTING AND REPAIR	300.00	Hour	\$90.00	\$27,000.00
10	DOT: 11/1/15-10/31/18 HOURLY RATE FOR URGENT (OVERTIME) TROUBLESHOOTING AND REPAIR	60.00	Hour	\$130.00	\$7,800.00
11	DOT: 11/1/15-10/31/18 937.9901 MAINTENANCE AND PROTECTION OF TRAFFIC - TYPE 1	20.00	Each	\$100.00	\$2,000.00
12	DOT: 11/1/15-10/31/18 937.9902 MAINTENANCE AND PROTECTION OF TRAFFIC - TYPE 2	10.00	Each	\$200.00	\$2,000.00
13	DOT: 11/1/15-10/31/18 937.9903 MAINTENANCE AND PROTECTION OF TRAFFIC - TYPE 3	20.00	Each	\$850.00	\$17,000.00

It is the Vendor's responsibility to check and download any and all addenda from the RIVIP. This offer may not be considered unless a signed RIVIP generated Bidder Certification Cover Form is attached and the Unit Price column is completed. The signed Certification Cover Form must be attached to the front of the offer



# Request for Quote

Page 2 of 2

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS  
ONE CAPITOL HILL  
PROVIDENCE RI 02908

CREATION DATE : 04-SEP-15

BID NUMBER: 7549871

TITLE: ITS ON-CALL MAINTENANCE SERVICES - DOT

BLANKET START : 01-NOV-15

BLANKET END : 31-OCT-18

BID CLOSING DATE AND TIME: 23-SEP-2015 01:00:00

BUYER: Mosca, Gary  
PHONE #: 401-574-8124

B  
I  
L  
L  
T  
O  
DOT ACCOUNTS PAYABLE  
TWO CAPITOL HILL, RM 230  
SMITH ST  
PROVIDENCE, RI 02903  
US

S  
H  
I  
P  
T  
O  
DOT TRAFFIC MANAGEMENT CENTER  
TWO CAPITOL HILL, RM 144  
PROVIDENCE, RI 02908  
US

Requisition Number: 1430290

Line	Description	Quantity	Unit	Unit Price	Total
14	DOT: 11/1/15-10/31/18 937.9904 MAINTENANCE AND PROTECTION OF TRAFFIC - TYPE 4	10.00	Each	\$200.00	\$2,000.00
15	DOT: 11/1/15-10/31/18 937.9905 MAINTENANCE AND PROTECTION OF TRAFFIC - TYPE 5	2.00	Each	\$950.00	\$1,900.00
16	DOT: 11/1/15-10/31/18 937.9906 MAINTENANCE AND PROTECTION OF TRAFFIC - TYPE 6	10.00	Each	\$1,100.00	\$11,000.00
17	DOT: 11/1/15-10/31/18 937.9907 MAINTENANCE AND PROTECTION OF TRAFFIC - TYPE 7	10.00	Each	\$300.00	\$3,000.00
18	DOT: 11/1/15-10/31/18 937.9908 MAINTENANCE AND PROTECTION OF TRAFFIC - TYPE 8	2.00	Each	\$300.00	\$600.00
19	DOT: 11/1/15-10/31/18 937.9909 MAINTENANCE AND PROTECTION OF TRAFFIC - TYPE 9	2.00	Each	\$200.00	\$400.00
20	DOT: 11/1/15-10/31/18 937.9910 MAINTENANCE AND PROTECTION OF TRAFFIC - TYPE 10	2.00	Each	\$1,200.00	\$2,400.00

\$268,490.00

Delivery: Varies

Terms of Payment: Net 30

It is the Vendor's responsibility to check and download any and all addenda from the RIVIP. This offer may not be considered unless a signed RIVIP generated Bidder Certification Cover Form is attached and the Unit Price column is completed. The signed Certification Cover Form must be attached to the front of the offer

Dated: 6/27/2011

### CERTIFICATION FOR TITLE VI ASSURANCE

I, Gordon Fletcher, CFO a duly authorized representative of  
(Name) (Title)  
Arden Engineering do hereby certify that the organization affirmatively agrees to the provisions  
(Company)

set forth below:

**1. The Certificate.**

For all contracts subject to Title VI of the Civil Rights Act of 1964, 42 U.S.C. part 2000d - 2000d4 (the Act) and 49 C.F.R. Part 21, Nondiscrimination in Federally-Assisted Programs of the Department of Transportation - Effectuation of Title VI of the Civil Rights Act of 1964 (the Regulations), prospective bidders shall be required to complete a Certification affirming compliance with the Act and the Regulations.

**2. Certification.**

By submission of a Proposal, each bidder and each person signing a Proposal subject to the Act and the Regulations certifies that the bidder shall affirmatively agree as follows:

**(a) Compliance with Regulations:**

The bidder shall comply with the regulations relative to nondiscrimination in federally-assisted programs of the Department, 49 C.F.R. Part 21, as they may be amended from time to time.

**(b) Nondiscrimination:**

The bidder shall not discriminate on the grounds of race, color, sex, national origin, age, or disability in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The bidder shall not participate either directly or indirectly in the discrimination prohibited by section 21.5 of the Regulations, including employment practices when the Contract covers a program set forth in Appendix B of the Regulations.

**(c) Solicitations for Subcontractors, Including Procurements of Materials and Equipment:**

In all solicitations either by competitive bidding or negotiation made by the bidder for work to be performed under a subcontract, including procurements of materials or leases of equipment, each potential subcontractor or supplier shall be notified by the bidder of its obligations relative to nondiscrimination on the grounds of race, color, sex, national origin, age, or disability.

**(d) Information and Reports:**

The bidder shall provide all information and reports required by the Regulations or directives issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Department or the Federal Highway Administration to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of the bidder is in the exclusive possession of another who fails or refuses to furnish this information, the bidder shall so certify to the Department, or the Federal Highway Administration, as appropriate, and shall set forth what efforts it has made to obtain the information.

**(e) Sanctions for Noncompliance:**

In the event of the bidder's noncompliance with the nondiscrimination provisions, the Department shall impose such contract sanctions as it or the Federal Highway Administration may determine to be appropriate, including, but not limited to:

- (1) withholding of payments under the Contract until the bidder complies, and/or
- (2) cancellation, termination or suspension of the Contract, in whole or in part.

**(f) Incorporation of Provisions:**

The bidder shall include the provisions of paragraphs (a) through (f) in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Regulations or directives issued pursuant thereto.

The bidder shall take such action with respect to any subcontract or procurement as the Department or the Federal Highway Administration may direct as a means of enforcing such provisions, including sanctions for noncompliance; provided, however, that in the event the bidder becomes involved in or is threatened with litigation with a subcontractor or supplier as a result of such direction, the bidder may request the Department to enter into such litigation to protect the interests of the Department and, in addition, the bidder may request the United States to enter into such litigation to protect the interests of the United States.

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER  
RESPONSIBILITY MATTERS--PRIMARY COVERED TRANSACTIONS**

**Instructions For Certification**


1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.



7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion- Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER  
RESPONSIBILITY MATTERS--PRIMARY COVERED TRANSACTIONS**

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
  - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification;
  - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

  
\_\_\_\_\_  
Signature/Authorized Certifying Official

Gordon Fletcher, CFO  
\_\_\_\_\_  
Typed Name and Title

Arden Engineering Constructors, LLC  
\_\_\_\_\_  
Applicant/Organization

9/23/15  
\_\_\_\_\_  
Date Signed

**49 CFR Part 29 - Appendix B**

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND  
VOLUNTARY EXCLUSION--LOWER TIER COVERED TRANSACTIONS**

**Instructions For Certification**

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.
4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AN  
VOLUNTARY EXCLUSION--LOWER TIER COVERED TRANSACTIONS**

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.



\_\_\_\_\_  
Signature/Authorized Certifying Official

Gordon Fletcher, CFO  
Typed Name and Title

Arden Engineering Constructors, LLC  
Applicant/Organization

9/23/15  
Date Signed

Revised: 4/12/2002

**ANTI-COLLUSION CERTIFICATE FOR CONTRACT AND FORCE ACCOUNT**  
**[Unsworn Declaration]**

Title 23, United States Code, Section 112(c), requires, as a condition precedent to approval by the Director of Public Roads of the contract for this work, that there be filed an unsworn declaration executed by, on behalf of, the person, firm, association, or corporation submitting the bid certifying that such person, firm, association, or corporation has not either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action, in restraint of free competitive bidding in connection with the submitted bid. This unsworn statement shall be in the form of a declaration executed under penalty of perjury under the laws of the United States.

To the: **STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS**  
**DEPARTMENT OF TRANSPORTATION, DIVISION OF PUBLIC WORKS**

State of RI

County of Providence

I, Gordon Fletcher, CFD, under penalty under the laws of the United States, do depose and say:

On behalf of Arden Engineering Constructors of Pawtucket, RI that said Contractor has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with Rhode Island Contract Number, Federal-Aid Project Number, County of, Town-City, Road-Bridge.

## Request for Taxpayer Identification Number and Certification

Give Form to the  
requester. Do not  
send to the IRS.

Print or type  
See Specific instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. <b>Arden Building Companies, LLC</b>	
2 Business name/disregarded entity name, if different from above <b>Arden Engineering Constructors, LLC</b>	
3 Check appropriate box for federal tax classification; check only one of the following seven boxes: <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input checked="" type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ <b>P</b> <b>Note.</b> For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner. <input type="checkbox"/> Other (see instructions) ▶	
4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <i>(Applies to accounts maintained outside the U.S.)</i>	
5 Address (number, street, and apt. or suite no.) <b>505 Narragansett Park Drive</b>	Requester's name and address (optional)
6 City, state, and ZIP code <b>Pawtucket, RI 02861</b>	
7 List account number(s) here (optional)	

### Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

**Note.** If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number								
			-					
or								
Employer identification number								
2	0	-	5	1	2	6	7	4

### Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign  
Here

Signature of  
U.S. person ▶

*Jacqueline M Benoit*

Date ▶

*9-17-15*

### General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at [www.irs.gov/fw9](http://www.irs.gov/fw9).

### Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

*If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding? on page 2.*

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

**Arden Engineering Constructors, LLC**  
505 Narragansett Park Drive, Pawtucket, RI 02861

**ITS ON-CALL MAINTENANCE SERVICES**  
Solicitation Number 7549871

#### **4.1. CONTRACTOR QUALIFICATIONS**



Arden Engineering Constructors, LLC  
505 Narragansett Park Drive, Pawtucket, RI 02861

ITS ON-CALL MAINTENANCE SERVICES  
Solicitation Number 7549871

#### 4.1. CONTRACTOR QUALIFICATIONS

##### 4.1.1. Key Personnel and Equipment

- Anthony Cardinale – Senior Project Manager  
15+ Years Experience in Traffic Signalization, Ductbanks, Highway and Streetscape Lighting, Traffic Monitoring, Switchgear Equipment, and ITS Systems.  
Bachelors Degree in Civil Engineering, Background in Transportation Systems.  
ATSSA Certified Traffic Control Technician
- Donald Irace – Foreman Electrician  
30+ Years Experience in Traffic Signalization, Highway and Streetscape Lighting, Traffic Monitoring, Ductbanks, and ITS Systems.  
Member of IBEW Union for over 25 years.  
Certified and Training in Eagle Traffic Controllers, Piezoelectric Sensors, Remote Traffic Monitoring Systems.  
ATSSA Certified Traffic Control Technician
- William McCue – Foreman Electrician  
25+ Years Experience in Traffic Signalization, Highway and Streetscape Lighting, Traffic Monitoring, Ductbanks, Switchgear Equipment, and ITS Systems.  
Member of IBEW Union for over 25 years.  
Certified and Training in Eagle Traffic Controllers  
IMSA Certified Traffic Control Supervisor and Signal Technician Level II
- Michael Kelly – Journeyman Electrician  
18+ Years Experience in Traffic Signalization, Highway and Streetscape Lighting, Traffic Monitoring, Ductbanks, and ITS Systems.  
Member of IBEW Union for over 20 years.  
Certified and Training in Eagle Traffic Controllers, and Piezoelectric Sensors.  
ATSSA Certified Traffic Control Technician

Arden Engineering Constructors, LLC  
505 Narragansett Park Drive, Pawtucket, RI 02861

ITS ON-CALL MAINTENANCE SERVICES  
Solicitation Number 7549871

#### 4.1. CONTRACTOR QUALIFICATIONS

##### 4.1.1. Key Personnel and Equipment - continue

Below is a list of equipment currently leased or owned by Arden Engineering Constructors, LLC;

- 2008-14 Ford E250 Van – Multiple on Lease
- 2008 Ford F250 Diesel SuperDuty Pickup – 1 on Lease  
VIN #1FTSX20R28ED30333, Plate #43169
- 1994 EagerBeaver Flatbed Trailer – 1 Owned  
VIN #112H5V300RL043159, Plate #35046
- 2006 Brindle Cable Reel Trailer – 1 Owned  
VIN #1L90R18276G085656
- 2013 Dodge Bucket Truck, 5500 Chassis, 13 Terex LT40 – 1 on Lease  
VIN #3C7WRNAL2DG524292
- 2009 Ford Bucket Truck, F550 Chassis, 09 Terex TL38P 38' – 1 on Lease  
VIN #1FDAF56R59EA87865
- 2014 Digger / Derrick, Freightliner M2 Chassis, Terex Commander Digger – 1 on Lease  
VIN #1FVACXCX8EHBX6073

Arden Engineering has unlimited access to any size and type of bucket trucks and cranes with our leasing companies, Scott Powerline and NESCO (UELC).

Arden Engineering Constructors, LLC  
505 Narragansett Park Drive, Pawtucket, RI 02861

ITS ON-CALL MAINTENANCE SERVICES  
Solicitation Number 7549871

#### 4.1. CONTRACTOR QUALIFICATIONS

##### 4.1.2. License Requirements

Please refer to the attached electrical licenses for the following key personnel;

- Robert Cote: Master Electrician License A-004191
- Donald Irace: Journeyman Electrician License B-007832  
Hydraulic Crane License 00009559
- William McCue: Journeyman Electrician License B-010071  
Hydraulic Crane License 00014937
- Michael Kelly: Journeyman Electrician License B-011906

Attached are additional certifications from Arden Engineering's subcontractors for the work they may be required within this contract;

- Shanix, Inc., Mustapha Gharaee – Pelco CCVE Certified Installer & Technician
- Baincor, Inc, Wayne Bainter – ADDCO Certified Installer & Technician
- Daktronics, Inc, Josh Kukrall, Brian McGuire, Aaron Hall – Vanguard Maintenance Certification

**PHOTO I.D. REQUIRED  
WITH THIS LICENSE**

*Robert J. Cote*

**Not valid without signature.**

If found, please return to:

DLT, 1511 Pontiac Avenue, Cranston, RI 02920-0943

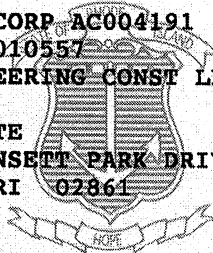
Ph: (401) 462-8580

[www.dlt.ri.gov/profregs](http://www.dlt.ri.gov/profregs)

State of Rhode Island and Providence Plantations  
Rhode Island Department of Labor and Training

**ELECTRICAL CORP AC004191  
A-004191 B-010557  
ARDEN ENGINEERING CONST LLC**

**ROBERT J COTE  
505 NARRAGANSETT PARK DRIVE  
PAWTUCKET RI 02861**



**JOHN SHAW**  
Administrator

**11/30/2016**  
Expiration Date

**Rhode Island**  
**DRIVER LICENSE**  
Class 10 License No. 8706336  
Birthdate 11-26-1970 Expires 11-26-2018

Sex	HT	WT	Eyes	Hair	Date
M	508	175	BROWN		11-18-2013

Restrictions: H Endorsements:

**ROBERT J COTE**  
3 PINE STREET  
CRANSTON, RI 02920

*Robert J. Cote*

**DL**

Rhode Island Department of Labor and Training  
Division of Workforce Regulation and Safety

**HYDRAULIC CRANES** **00009559**

**DONALD R IRACE**  
**11 ROAD C**  
**SCITUATE RI 02857**

*Ronald R. Ambrose*  
Administrator

**08/31/2015**  
Expiration Date

Rhode Island Department of Labor and Training  
Division of Workforce Regulation and Safety

**ELECTRICAL CONTRACTOR A-000043**  
**JOURNEY ELECTRICIAN B-007832**

**DONALD R IRACE**  
**11 ROAD C**  
**NORTH SCITUATE RI 02857**

*Ronald R. Ambrose*  
Administrator

**08/31/2015**  
Expiration Date

Rhode Island Department of Labor and Training  
Division of Workforce Regulation and Safety

HYDRAULIC CRANES 00014937

WILLIAM C MCCUE  
78 BROOK FARM ROAD  
WAKEFIELD RI 02879

*Ronald R. Chisholm*  
Administrator

05/31/2015  
Expiration Date

Rhode Island Department of Labor and Training  
Division of Workforce Regulation and Safety

ELECTRICAL CONTRACTOR A-003354  
JOURNEY ELECTRICIAN B-010071

WILLIAM C MCCUE  
78 BROOKFARM ROAD NORTH  
SOUTH KINGSTOWN RI 02879

*Ronald R. Chisholm*  
Administrator

05/31/2015  
Expiration Date

Rhode Island Department of Labor and Training  
Division of Workforce Regulation and Safety

**JOURNEY ELECTRICIAN** **B-011906**

**MICHAEL C KELLY**  
**63 SARAH REFT DR #1**  
**WARWICK RI 02889**

*Russell R. Andrews*  
Administrator

**12/31/2015**  
Expiration Date

## **DONALD R. IRACE**

*PO Box 888, North Scituate, RI 02919*

### **EXPERIENCE**

*Mass Electric Construction, Cranston, RI - 06/12/92 to 10/01/02*

Crew Foreman - Installation and Troubleshooting of Traffic Signal Systems with and without Broad Spectrum Radios, Interconnect Wired, and Time Base Coordination, CCVE Cameras, RVD Monitoring Stations, VMS Signs both roadside and overhead units, HAR Sites, WIM Classification Stations and Highway Lighting Systems.

*Croce Electric Company, Warwick, RI - 10/01/02 to 02/01/08*

Crew Foreman - Installation and Troubleshooting of Traffic Signal Systems with and without Broad Spectrum Radios, Interconnect Wired and Time Base Coordination, CCVE Cameras, RVD Monitoring Stations, VMS Signs both roadside and overhead units, HAR Sites, WIM Classification Stations and Highway Lighting Systems.

*Arden Engineering Constructors, LLC Pawtucket, RI - 05/30/08 to Present*

Crew Foreman – Installation of CCVE, RVDs, and Traffic Monitoring Stations on various Rhode Island DOT projects. Crew Foreman – CCVE and Traffic Monitoring maintenance for Rhode Island DOT TMC.

### **CERTIFICATIONS**

MG^2 Certified Technician  
ATSSA Traffic Control Technician  
PELCO / Eagle Controller Technician  
RTMS Wavetronix Certified Installer  
RTMS Econolite Certified Installer  
IRD Certified Installer

### **EDUCATION / LICENSES**

IBEW Local 99 Joint Apprenticeship & Training, RI Certificate of Completion 1982  
RI Journeyman License #B007832, RI Contractors License #A000043  
RI Crane Operators License #00009559, RI CDL Drivers License  
OSHA Certified 1995

### **REFERENCES**

Wayne Gablinski, RIDOT Chief of Electrical Unit  
Mike Wreh, RIDOT TMC Unit



## **WILLIAM McCUE**

*78 Brook Farm Road, Wakefield, RI 02879*

### **EXPERIENCE**

*Mass Electric Construction, Cranston, Rhode Island, 03/01/96 to 05/31/02*

Crew Foreman - Installation and Troubleshooting of Traffic Signal Systems with and without Broad Spectrum Radios, Interconnect Wired, and Time Base Coordination, CCVE Cameras, VMS / DMS Signs both roadside and overhead units, HAR Sites, and Highway and Roadway Lighting Systems.

*Croce Electric Company, Warwick, Rhode Island, 02/01/03 to 09/01/08*

Crew Foreman - Installation and Troubleshooting of Traffic Signal Systems with and without Broad Spectrum Radios, Interconnect Wired and Time Base Coordination, FiberOptic Interconnect Systems, CCVE Cameras, VMS Signs both roadside and overhead units, HAR Sites, and Highway and Roadway Lighting Systems.

*Arden Engineering Constructors, LLC Pawtucket, Rhode Island, 03/01/09 to Present*

Crew Foreman – Installation of Traffic Signal Systems, FiberOptic Interconnect Systems, CCVE Cameras, Medium/High Voltage Ductbanks and Equipment, and Highway and Roadway Lighting on various Rhode Island DOT projects.

### **CERTIFICATIONS**

MG^2 Certified Technician

IMSA Certified Traffic Signal Technician Level II

IMSA Certified Work Zone Traffic Control Supervisor

PELCO Technician

Eagle Controller Technician

### **EDUCATION / LICENSES**

IBEW Local 99 Joint Apprenticeship & Training, RI Certificate of Completion 1989

RI Journeyman License #B-10071, RI Contractors License #A003354

RI Crane Operators License #14937, RI CDL Drivers License

OSHA Certified 1995

### **REFERENCES**

Wayne Gablinski, RIDOT Chief of Electrical Unit

Mike Wreh, RIDOT TMC Unit



To whom it may concern:

This letter serves as notice for Arden Engineering Constructors and their field technicians are well diversified in trouble shooting on the field. The list of Pelco equipment such as but not limited to Spectra Dome, Net 4001A transceiver, etc.

If additional clarification is required, please contact me.

Sincerely,

*Mustapha Gharaee*

Mustapha Gharaee  
Vice President Sales & Marketing

---

CCTV • Access Control • Systems Integration • Presentation Technologies • A/V Design

---

40 Worthington Rd • Cranston, RI 02920 • Tel: (401) 941-4222 • Fax: (401) 941-4333  
Web: [www.Shanix.com](http://www.Shanix.com) • E Mail: [info@Shanix.com](mailto:info@Shanix.com)



May 12, 2006

To Whom It May Concern:

This letter is to acknowledge Shanix, Inc. of Cranston, Rhode Island as an Authorized Dealer for Pelco Video Security products and is authorized to supply, install, and service Pelco products within the guidelines of Pelco policies and procedures. Shanix, Inc. is also one of a limited group of dealers that is Certified, which is required to Supply, Install, and Service the Pelco series of products. In addition, Shanix, Inc. has been a valued Pelco partner for many years as well as being a long-standing member of the Pelco President's Dealer Advisory Council. If you have any questions or require further information, please feel free to contact this office.

Sincerely,

Alan N. Jorn  
Director, Sales Operations



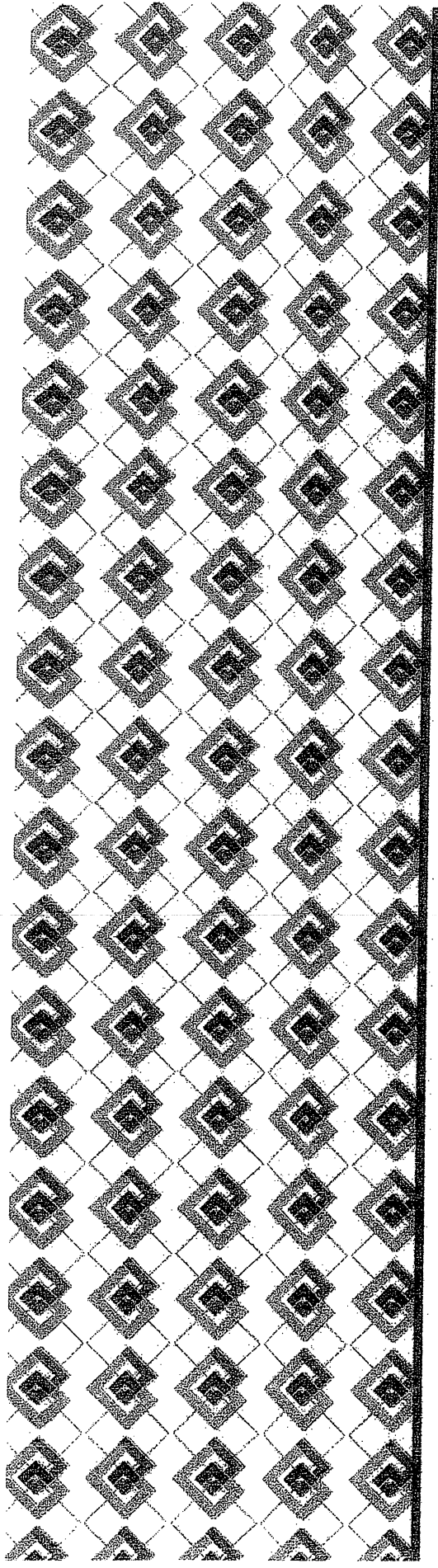
March 14, 2006

To Whom It May Concern:

This letter is to acknowledge Shanix, Inc. of Cranston RI as an Authorized Dealer for Pelco Video Security products and is authorized to supply, install, and service Pelco products within the guidelines of Pelco policies and procedures. Shanix, Inc. is also one of a limited group of dealers that is CM9760 Certified, which is required to Supply, Install, and Service the Pelco CM9760 series of products. Staffed with multiple installation and service technicians, they have successfully installed a number of large integrated Pelco Systems. In addition, Shanix, Inc. has been a valued Pelco partner for many years as well as being a long-standing member of the Pelco President's Dealer Advisory Council. If you have any questions or require further information, please feel free to contact this office.

Sincerely,

Alan N Jorn  
Director, Sales Operations



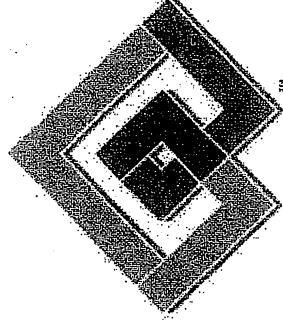
**Mustapha Gharaee**

**HAS SUCCESSFULLY COMPLETED THE PELCO  
ENDURA CERTIFICATION TEST REQUIREMENTS  
AND IS RECOGNIZED AS A**

**Pelco Certified System Designer - PCSD**

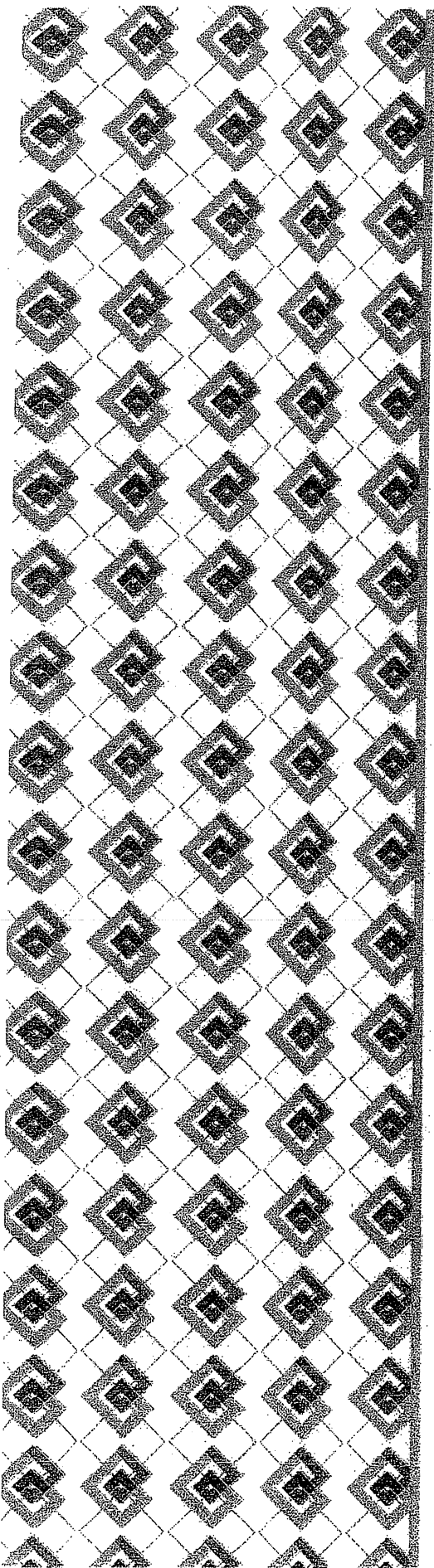
*David L. McDonald*

DAVID L. McDONALD  
PRESIDENT & CEO



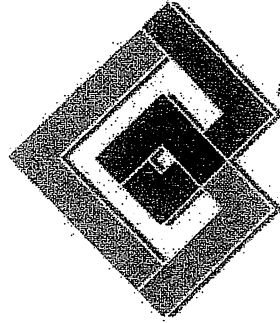
**Endura**  
CERTIFIED





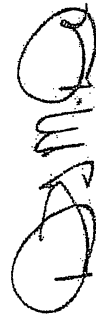
**Mustapha Gharaee**

**HAS SUCCESSFULLY COMPLETED THE PELCO  
ENDURA CERTIFICATION TEST REQUIREMENTS  
AND IS RECOGNIZED AS A**

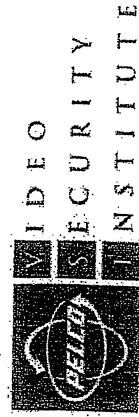


**Endura  
CERTIFIED**

**Pelco Certified System Technician - PCST**

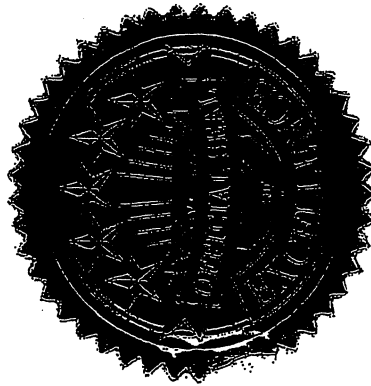


**DAVID L. McDONALD  
PRESIDENT & CEO**





*Certificate*  
of  
COMPLETION



This award certifies that

*Ken Pichette*

has successfully completed the  
course entitled

*Networking Basics for Pelco Products*

March 26, 2002

A handwritten signature in dark ink, appearing to read "David Junio", written over a horizontal line.

David Junio  
Training Manager

A handwritten signature in dark ink, appearing to read "Dave McDonald", written over a horizontal line.

Dave McDonald  
President

# *Certificate of Completion*

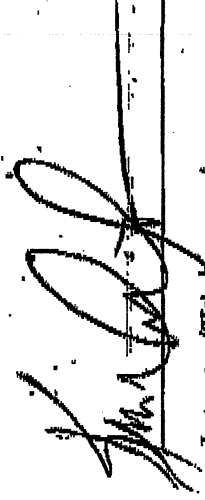
**WAYNE BAINTER**

has completed the necessary training requirements and  
achieved competency in operation & maintenance of

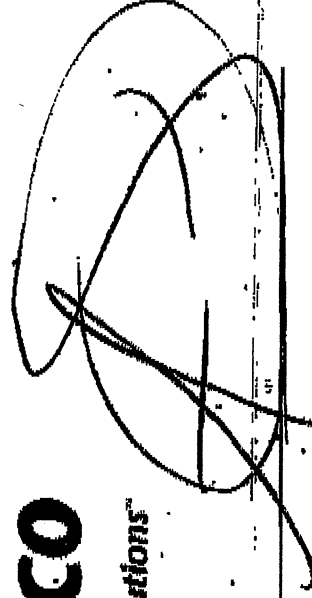
**THE ADDCO BRICK®**



**Smart Traffic Solutions™**



Instructor/Title



Scott Carlson/Director of Customer Service






# VANGUARD CERTIFIED TRAINER

THIS CERTIFICATE IS AWARDED TO

*Josh Kukulall*

*Has Successfully complete the requirements for Vanguard Maintenance and  
Software Training.*

  
Al Halvorson  
Customer Service Manager  
Transportation Business unit  
Daktronics, Inc.

*January 7<sup>th</sup>, 2010*  
DATE



DAKTRONICS

# VANGUARD CERTIFIED TRAINER

THIS CERTIFICATE IS AWARDED TO

*Brian McGuire*

*Has Successfully complete the requirements for Vanguard Maintenance and  
Software Training.*

Al Halvorson  
Customer Service Manager  
Transportation Business Unit  
Daktronics, Inc.

*January 7<sup>th</sup>, 2010*  
DATE

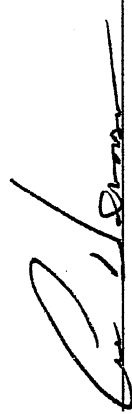


# VANGUARD CERTIFIED TRAINER

THIS CERTIFICATE IS AWARDED TO

*Aaron Hall*

*Has Successfully complete the requirements for Vanguard Maintenance and  
Software Training.*

  
Al Halvorson  
Customer Service Manager  
Transportation Business unit  
Daktronics, Inc.

*February 7<sup>th</sup> 2010*  
DATE

Arden Engineering Constructors, LLC  
505 Narragansett Park Drive, Pawtucket, RI 02861

ITS ON-CALL MAINTENANCE SERVICES  
Solicitation Number 7549871

#### 4.1. CONTRACTOR QUALIFICATIONS

##### 4.1.3. Previous Experience

- ITS Maintenance and Repair Contract 03/01/12 – 02/28/15. Responsible to Maintain and Inspect over 100 CCVE Cameras, HAR Systems, and VMS Signs throughout Rhode Island.
- On-Call Service Contract for Traffic Monitoring Stations 10/14/09 – 09/30/12. Responsible to Maintain, Inspect, and Repair Multiple Traffic Monitoring Sites throughout Rhode Island.

Reference: Michael Wreh, Rhode Island DOT, TMC 401-222-2468  
Phil D'Ercole, Rhode Island DOT, TMC 401-222-2468